TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) E						cket No. H.039D2		
In Re Ap	In Re Application Of: Shinji Ohuchi et al.							
Applic	cation No.	Filing Date	Examilar	Customer No.	Group Art Unit	Confirmation No.		
10/6	657,139	September 9, 2003	D. Nguyen	20987	2814	1910		
Title:	SEMICONI	OUCTOR DEVICE IN	NCLUDING SEMICONDUCTOR	R ELEMENTS	MOUNTED ON	BASE PLATE		
			Address to:  Commissioner for Patent P.O. Box 1450  Alexandria, VA 22313-145					
			37 CFR 1.97(b)					
1. 🛚	of a nation three monta application	nal application other ths of the date of ent n; before the mailing o	atement submitted herewith is be than a continued prosecution a stry of the national stage as set of a first Office Action on the me est for continued examination und	application und forth in 37 CF erits, or before	der 37 CFR 1.53 R 1.491 in an in the mailing of a	3(d); within iternational		
			37 CFR 1.97(c)					
2. 🗆	2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
	★ the state of the st	statement specified ir	n 37 CFR 1.97(e);					
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	☐ the fee set forth in 37 CFR 1.17(p).							

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In Re Application of: Shinji Ohuchi et al.								
Application No. Filing Date Examinel Customer No. Group Art Unit Confirmation								
10/657,139 September 9, 2003 D. Nguyen 20987 2814								
Title: SEMICON	DUCTOR DEVICE II	Paym	nent of Fee			DASETEATE		
☑ The Director as describer □ Ch ☑ Cr	(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  ☐ A check in the amount of is attached.  ☐ The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below.  ☐ Charge the amount of ☐ Credit any overpayment.							
WARNING included o	y credit card. Form P i: Information on thi on this form. Providente of Transmission b	s form may becor e credit card infor	ne public. C mation and	authorization	on PTO-2038.			
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*This certificate may only be used if paying by deposit account.  ANDREW J. TELESZ, JR. REG. NO. 33,581  VOLENTINE FRANCOS & WHITT, P.L.L.C. 11951 FREEDOM DRIVE, SUITE 1260 RESTON, VA 20190 TEL. NO. (571) 283-0720								

1	ENT UNDER 37 CORMATION DISC	I I I	ocket No. H.039D2				
In Re Application Of: Shinji Ohuchi et al.							
Application No.	Filing Date	Te Fine Timer	Customer No.	Group Art Unit	Confirmation No.		
10/657,139	September 9, 2003	D. Nguyen	20987	2814	1910		
		ICE INCLUDING SEMICOND	UCTOR ELEM	ENTS MOUNTE	ED ON		
BASI	E PLATE				1		
		COMMISSIONER FOR PATI	ENTS:				
This is a statemen	t under the provisions	s of 37 CFR 1.97(e) in the abov	re-identified app	plication.			
Check applicable s	statement herebelow:	:					
		Statement Under 37 CFR 1.9	97(e)(1)				
any commu	inication from a fore	ned in the accompanying Information patent office in a counterplormation Disclosure Statemen	part foreign ap				
		Statement Under 37 CFR 1.9	97(e)(2)				
communicat undersigned Information							
by	Dated: October 12, 2006						
ANDREW J. TELE	Signature ESZ, JR.						
REG. NO. 33,581				te of Mailing by F			
VOLENTINE FRANCOS & WHITT, P.L.L.C. 11951 FREEDOM DRIVE, SUITE 1260 RESTON, VA 20190 TEL. NO. (571) 283-0720			I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissione for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 [37 CFR 1.8(a)] on				
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PTO/SB/08A (09-06)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/657,139			
Filing Date	September 9, 2003			
First Named Inventor	Shinji Ohuchi et al.			
Art Unit	2814			
Examiner Name	D. Nguyen			
Attorney Docket Number	KKH.039D2			

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Ā	<sup>US-</sup> 6,462,421 B1	10-08-2002	Hsu et al.			
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FOREIGN PATENT DOCUMENTS									
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.